

401133

2014 Jan 09 PM06:51

## UCC FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

|   |
|---|
| A. NAME & PHONE OF CONTACT AT FILER (optional)<br>Michael Norley, TTEE        |
| B. SEND ACKNOWLEDGMENT TO: (Name and Address)<br><br>DIAMOND SETTLEMENT TRUST |

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1a. INITIAL FINANCING STATEMENT FILE # 201307230417092 Filedate: 23-JUL-13

1b. This FINANCING STATEMENT AMENDMENT is to be filed (for record) (or recorded) in the REAL ESTATE RECORDS.

2. ☐ TERMINATION: Effectiveness of the Financing Statement identified above is terminated with respect to security interest(s) of the Secured Party authorizing this Termination Statement.3. ☐ CONTINUATION: Effectiveness of the Financing Statement identified above with respect to security interest(s) of the Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law.4. ☒ ASSIGNMENT (full or partial): Give name of assignee in item 7a or 7b and address of assignee in item 7c and also give name of assignor in item 9.5. AMENDMENT (PARTY INFORMATION): This Amendment affects ☐ Debtor or ☐ Secured Party of record. Check only one of these two boxes.

Also check one of the following three boxes and provide appropriate information in items 6 and/or 7.

☐ CHANGE name and/or address: Give current record name in item 6a or 6b; also give new name (if name change) in item 7a or 7b and/or new address (if address change) in item 7c. ☐ DELETE name: Give record name to be deleted in item 6a or 6b. ☐ ADD name: Complete item 7a or 7b, and also item 7c; also complete items 7d-7g (if applicable).

6. CURRENT RECORD INFORMATION:

|                         |                            |            |             |
|-------------------------|----------------------------|------------|-------------|
| 6a. ORGANIZATION'S NAME |                            |            |             |
| OR                      | 6b. INDIVIDUAL'S LAST NAME | FIRST NAME | MIDDLE NAME |
|                         |                            |            | SUFFIX      |

7. CHANGED (NEW) OR ADDED INFORMATION:

|  |                            |                        |             |
|--|----------------------------|------------------------|-------------|
| 7a. ORGANIZATION'S NAME Internal Revenue Service, c/o Renee Mitchell |                            |                        |             |
| OR   | 7b. INDIVIDUAL'S LAST NAME | FIRST NAME             | MIDDLE NAME |
|  |                            |                        | SUFFIX      |
| 7c. MAILING ADDRESS PO BOX 192                                       |                            | CITY COVINGTON         | STATE KY    |
|  |                            | POSTAL CODE 41012-0192 | COUNTRY USA |

|                                   |                                |   |
|-----------------------------------|--------------------------------|---|
| ADD'L INFO RE ORGANIZATION DEBTOR | 7d. TYPE OF ORGANIZATION TRUST | 7e. JURISDICTION OF ORGANIZATION DISTRICT OF COLUMBIA |
|-----------------------------------|--------------------------------|---|

8. AMENDMENT (COLLATERAL CHANGE): check only one box.

Describe collateral ☐ deleted or ☐ added, or give entire ☐ restated collateral description, or describe collateral ☒ assigned.

[NOTICE OF ASSIGNMENT]

(a) Performance Bond, Document No. 20130910-SF-2M, Penal Sum Amount \$2,000,000.00

(b) Affidavit of Individual Surety [for assets pledged as collateral to secure bond], Document No. 20130910-SF28-2M

(c) Payment Bond, Document No. 20131104-SF25A-2M-1, Penal Sum Amount \$2,000,000.00

(d) Payment Bond, Document No. 20131104-SF25A-2M-2, Penal Sum Amount \$2,000,000.00

(e) Payment Bond, Document No. 20131104-SF25A-2M-3, Penal Sum Amount \$2,000,000.00

(f) Affidavit of Individual Surety [for assets pledged as collateral to secure bond], Document No. 20131104-SF28-2M-1

(g) Affidavit of Individual Surety [for assets pledged as collateral to secure bond], Document No. 20131104-SF28-2M-2

(h) Affidavit of Individual Surety [for assets pledged as collateral to secure bond], Document No. 20131104-SF28-2M-3

Assignment is for collection of outstanding receivables, a Federal withholding tax which remains unpaid.

Bonds issued to secure the Contract, indemnify all parties pursuant to breach of Contract No. 1:12-CR-00579-TJM due to

failure to perform disposition (i.e., failure to release lien(s), release collateral, return value or consideration,

or return collateral). This Financing Statement is effective 12/10/2012 continuing until a termination is filed.

9. NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT (name of assignor, if this is an Assignment). If this is an Amendment authorized by a Debtor which adds collateral or adds the authorizing Debtor, or if this is a Termination authorized by a Debtor, check here ☐ and enter name of DEBTOR authorizing this Amendment.

|  |                            |            |             |
|--|----------------------------|------------|-------------|
| 9a. ORGANIZATION'S NAME DIAMOND SETTLEMENT TRUST |                            |            |             |
| OR   | 9b. INDIVIDUAL'S LAST NAME | FIRST NAME | MIDDLE NAME |
|  |                            |            | SUFFIX      |

10. OPTIONAL FILER REFERENCE DATA [SECURITIES UNDER RULE 65.1. RECORDING AS NOTICE OF ASSIGNMENT] /S/ BY MICHAEL NORLEY, TTEE

Filing Number-201401098011684

☐ VOID ☐ CORRECTED

|  |  |  |   |   |  |
|--|--|--|---|---|--|
| PAYER'S name, street address, city, state, ZIP code, and telephone no.<br>CLERK OF COURT LAWRENCE K. BAERMAN<br>c/o 445 Broadway Room 509<br>Albany New York 12207   |  | 1 Original issue discount for 2012<br>\$ 2,000,000                 | OMB No. 1545-0117<br><b>2012</b><br>Form 1099-OID |   | Original Issue Discount<br><br>Copy C<br><br>For Payer<br><br>For Privacy Act and Paperwork Reduction Act Notice, see the 2012 General Instructions for Certain Information Returns. |
| PAYER'S federal identification number<br>16-6204565  |  | 2 Other periodic interest<br>\$ 0                                  |   | 4 Federal income tax withheld<br>\$ 2,000,000 |  |
| RECIPIENT'S identification number<br>46-6823539  |  | 3 Early withdrawal penalty<br>\$ 0                                 |   |   |  |
| RECIPIENT'S name<br>Administrator Michael Norley, TRUST for DIAMOND SETTLEMENT TRUST<br>Street address (including apt. no.)<br>c/o PO Box 1774 USA<br>City, state, and ZIP code<br>West Chester Pennsylvania 19380 |  | 5 Description<br>Discount on Performance Bond No. 20130910-SF25-2M |   |   |  |
| 6 Original issue discount on U.S. Treasury obligations<br>\$ 0   |  | 7 Investment expenses<br>\$ 0                                      |   |   |  |
| Account number (see instructions)<br>1:12-cr-00579-TJM   |  | 2nd TIN not.<br><input type="checkbox"/>                           | 8 State   | 9 State identification no.                    | 10 State tax withheld<br>\$  |

Form 1099-OID

Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

|  |  |   |   |   |  |
|--|--|---|---|---|--|
| PAYER'S name, street address, city, state, ZIP code, and telephone no.<br>CLERK OF COURT LAWRENCE K. BAERMAN<br>c/o 445 Broadway Room 509<br>Albany New York 12207   |  | 1 Original issue discount for 2012<br>\$ 2,000,000                | OMB No. 1545-0117<br><b>2012</b><br>Form 1099-OID |   | Original Issue Discount<br><br>Copy C<br><br>For Payer<br><br>For Privacy Act and Paperwork Reduction Act Notice, see the 2012 General Instructions for Certain Information Returns. |
| PAYER'S federal identification number<br>16-6204565  |  | 2 Other periodic interest<br>\$ 0                                 |   | 4 Federal income tax withheld<br>\$ 2,000,000 |  |
| RECIPIENT'S identification number<br>46-6823539  |  | 3 Early withdrawal penalty<br>\$ 0                                |   |   |  |
| RECIPIENT'S name<br>Administrator Michael Norley, TRUST for DIAMOND SETTLEMENT TRUST<br>Street address (including apt. no.)<br>c/o PO Box 1774 USA<br>City, state, and ZIP code<br>West Chester Pennsylvania 19380 |  | 5 Description<br>Discount on Payment Bond No. 20131104-SF25A-2M-3 |   |   |  |
| 6 Original issue discount on U.S. Treasury obligations<br>\$ 0   |  | 7 Investment expenses<br>\$ 0                                     |   |   |  |
| Account number (see instructions)<br>1:12-cr-00579-TJM   |  | 2nd TIN not.<br><input type="checkbox"/>                          | 8 State   | 9 State identification no.                    | 10 State tax withheld<br>\$  |

Form 1099-OID

Department of the Treasury - Internal Revenue Service

| PAYER'S name, street address, city, state, ZIP code, and telephone no.  |  | 1a Date of sale or exchange  | OMB No. 1545-0715   |  | <b>Proceeds From<br/>Broker and<br/>Barter Exchange<br/>Transactions</b>  |
|---|--|--|---|--|---|
| <b>Administrator Michael Norley, TRUST<br/>DIAMOND SETTLEMENT TRUST<br/>c/o PO Box 1774<br/>West Chester Pennsylvania 19380<br/>USA</b> |  | 20121219   | <b>2012</b><br>Form 1099-B  |  |   |
|   |  | 1b Date of acquisition   |   |  |   |
|   |  | 1c Type of gain or loss  |   |  | Short-term <input checked="" type="checkbox"/><br>Long-term <input type="checkbox"/>  |
| PAYER'S federal identification number   |  | RECIPIENT'S identification number  |   | 1d Stock or other symbol                                     | 1e Quantity sold  |
| 46-6823539  |  | 16-6204565   |   |  | 1   |
| RECIPIENT'S name  |  | 2a Stocks, bonds, etc.   |   | 2b If box checked, loss based on amount in 2a is not allowed |   |
| CLERK OF COURT LAWRENCE K. BAERMAN  |  | Reported to IRS <input checked="" type="checkbox"/> Sales price<br><input type="checkbox"/> Sales price less commissions and option premiums |   | <input type="checkbox"/>                                     |   |
| Street address (including apt. no.)   |  | 3 Cost or other basis  | 4 Federal income tax withheld   |  | <b>Copy B<br/>For Recipient</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. |
| 445 Broadway Room 509   |  | \$   | \$ 0  |  |   |
| City, state, and ZIP code   |  | 5 Wash sale loss disallowed  | 6 Checked if:   |  |   |
| Albany New York 12207   |  | \$ 0   | a <input checked="" type="checkbox"/> Noncovered security<br>b <input type="checkbox"/> Basis reported to IRS |  |   |
| Account number (see instructions)   |  | 7 Bartering  |   |  |   |
| 1:12-cr-00579-TJM   |  | \$ 0   |   |  |   |
| CUSIP number  |  | 8 Description  | 9 Profit or (loss) realized in 2012 on closed contracts   |  | 10 Unrealized profit or (loss) on open contracts - 12/31/2011   |
|   |  | Item No. 20130910-SF25-2M in exchange for title.   | \$ (2,000,000)  |  | \$ 0  |
| 2nd TIN not   |  | 11 Unrealized profit or (loss) on open contracts - 12/31/2012  | 12 Aggregate profit or (loss) on contracts  |  | 13 State  |
|   |  | \$ 0   | \$ (2,000,000)  |  | 14 State identification no.   |
|   |  |  |   |  | 15 State tax withheld   |
|   |  |  |   |  | \$  |

Form 1099-B (keep for your records) Department of the Treasury - Internal Revenue Service

| PAYER'S name, street address, city, state, ZIP code, and telephone no.  |  | 1a Date of sale or exchange  | OMB No. 1545-0715   |  | <b>Proceeds From<br/>Broker and<br/>Barter Exchange<br/>Transactions</b>  |
|---|--|--|---|--|---|
| <b>Administrator Michael Norley, TRUST<br/>DIAMOND SETTLEMENT TRUST<br/>c/o PO Box 1774<br/>West Chester Pennsylvania 19380<br/>USA</b> |  | 20121219   | <b>2012</b><br>Form 1099-B  |  |   |
|   |  | 1b Date of acquisition   |   |  |   |
|   |  | 1c Type of gain or loss  |   |  | Short-term <input checked="" type="checkbox"/><br>Long-term <input type="checkbox"/>  |
| PAYER'S federal identification number   |  | RECIPIENT'S identification number  |   | 1d Stock or other symbol                                     | 1e Quantity sold  |
| 46-6823539  |  | 16-6204565   |   |  | 1   |
| RECIPIENT'S name  |  | 2a Stocks, bonds, etc.   |   | 2b If box checked, loss based on amount in 2a is not allowed |   |
| CLERK OF COURT LAWRENCE K. BAERMAN  |  | Reported to IRS <input checked="" type="checkbox"/> Sales price<br><input type="checkbox"/> Sales price less commissions and option premiums |   | <input type="checkbox"/>                                     |   |
| Street address (including apt. no.)   |  | 3 Cost or other basis  | 4 Federal income tax withheld   |  | <b>Copy B<br/>For Recipient</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. |
| 445 Broadway Room 509   |  | \$   | \$ 0  |  |   |
| City, state, and ZIP code   |  | 5 Wash sale loss disallowed  | 6 Checked if:   |  |   |
| Albany New York 12207   |  | \$ 0   | a <input checked="" type="checkbox"/> Noncovered security<br>b <input type="checkbox"/> Basis reported to IRS |  |   |
| Account number (see instructions)   |  | 7 Bartering  |   |  |   |
| 1:12-cr-00579-TJM   |  | \$ 0   |   |  |   |
| CUSIP number  |  | 8 Description  | 9 Profit or (loss) realized in 2012 on closed contracts   |  | 10 Unrealized profit or (loss) on open contracts - 12/31/2011   |
|   |  | Item #20131104-SF25A-2M-1 in exchange for title.   | \$ (2,000,000)  |  | \$ 0  |
| 2nd TIN not   |  | 11 Unrealized profit or (loss) on open contracts - 12/31/2012  | 12 Aggregate profit or (loss) on contracts  |  | 13 State  |
|   |  | \$ 0   | \$ (2,000,000)  |  | 14 State identification no.   |
|   |  |  |   |  | 15 State tax withheld   |
|   |  |  |   |  | \$  |

Form 1099-B (keep for your records) Department of the Treasury - Internal Revenue Service



|   |   |   |  |                          |   |
|---|---|---|--|--------------------------|---|
| PAYER'S name, street address, city, state, ZIP code, and telephone no.  |   | 1a Date of sale or exchange<br>20121219   | OMB No. 1545-0715  |                          | <b>Proceeds From<br/>Broker and<br/>Barter Exchange<br/>Transactions</b>  |
| Administrator Michael Norley, TTEE<br>DIAMOND SETTLEMENT TRUST<br>c/o PO Box 1774<br>West Chester Pennsylvania 19380<br>USA |   | 1b Date of acquisition<br>20121219  | 2012<br>Form 1099-B  |                          |   |
|   |   | 1c Type of gain or loss<br>Short-term <input checked="" type="checkbox"/><br>Long-term <input type="checkbox"/> |  |                          |   |
| PAYER'S federal identification number<br>46-6823539   | RECIPIENT'S identification number<br>16-6204565 | 2a Stocks, bonds, etc.<br>\$ 2,000,000  | Reported to IRS <input checked="" type="checkbox"/> Sales price<br><input type="checkbox"/> Sales price less commissions and option premiums | 1d Stock or other symbol | 1e Quantity sold<br>1   |
| RECIPIENT'S name<br>CLERK OF COURT LAWRENCE K. BAERMAN  |   | 3 Cost or other basis<br>\$   | 4 Federal income tax withheld<br>\$ 0  |                          | <b>Copy B<br/>For Recipient</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. |
| Street address (including apt. no.)<br>445 Broadway Room 509  |   | 5 Wash sale loss disallowed<br>\$ 0   | 6 Checked if:<br>a <input checked="" type="checkbox"/> Noncovered security<br>b <input type="checkbox"/> Basis reported to IRS               |                          |   |
| City, state, and ZIP code<br>Albany New York 12207  |   | 7 Bartering<br>\$ 0   | 8 Description<br>Item #20131104-SF25A-2M-2 in exchange for title.  |                          |   |
| Account number (see instructions)<br>1:12-cr-00579-TJM  | 2nd TIN not.<br><input type="checkbox"/>        | 9 Profit or (loss) realized in 2012 on closed contracts<br>\$ (2,000,000)                                       | 10 Unrealized profit or (loss) on open contracts—12/31/2011<br>\$ 0  |                          |   |
| CUSIP number  |   | 11 Unrealized profit or (loss) on open contracts—12/31/2012<br>\$ 0   | 12 Aggregate profit or (loss) on contracts<br>\$ (2,000,000)   |                          | 13 State  |
|   |   |   |  |                          | 14 State identification no.   |
|   |   |   |  |                          | 15 State tax withheld<br>\$   |

Form 1099-B (keep for your records) Department of the Treasury - Internal Revenue Service

|   |   |   |  |                          |                             |   |
|---|---|---|--|--------------------------|-----------------------------|---|
| PAYER'S name, street address, city, state, ZIP code, and telephone no.  |   | 1a Date of sale or exchange<br>20121219   |  | OMB No. 1545-0715        |                             | <b>Proceeds From<br/>Broker and<br/>Barter Exchange<br/>Transactions</b>  |
| Administrator Michael Norley, TTEE<br>DIAMOND SETTLEMENT TRUST<br>c/o PO Box 1774<br>West Chester Pennsylvania 19380<br>USA |   | 1b Date of acquisition<br>20121219  |  | 2012<br>Form 1099-B      |                             |   |
|   |   | 1c Type of gain or loss<br>Short-term <input checked="" type="checkbox"/><br>Long-term <input type="checkbox"/> |  |                          |                             |   |
| PAYER'S federal identification number<br>46-6823539   | RECIPIENT'S identification number<br>16-6204565 | 2a Stocks, bonds, etc.<br>\$ 2,000,000  | Reported to IRS <input checked="" type="checkbox"/> Sales price<br><input type="checkbox"/> Sales price less commissions and option premiums | 1d Stock or other symbol | 1e Quantity sold<br>1       | <b>Copy B<br/>For Recipient</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. |
| RECIPIENT'S name<br>CLERK OF COURT LAWRENCE K. BAERMAN  |   | 3 Cost or other basis<br>\$   | 4 Federal income tax withheld<br>\$ 0  |                          |                             |   |
| Street address (including apt. no.)<br>445 Broadway Room 509  |   | 5 Wash sale loss disallowed<br>\$ 0   | 6 Checked if:<br>a <input checked="" type="checkbox"/> Noncovered security<br>b <input type="checkbox"/> Basis reported to IRS               |                          |                             |   |
| City, state, and ZIP code<br>Albany New York 12207  |   | 7 Bartering<br>\$ 0   | 8 Description<br>Item #20131104-SF25A-2M-3 in exchange for title.  |                          |                             |   |
| Account number (see instructions)<br>1:12-cr-00579-TJM  | 2nd TIN not.<br><input type="checkbox"/>        | 9 Profit or (loss) realized in 2012 on closed contracts<br>\$ (2,000,000)                                       | 10 Unrealized profit or (loss) on open contracts—12/31/2011<br>\$ 0  |                          | 13 State                    |   |
| CUSIP number  |   | 11 Unrealized profit or (loss) on open contracts—12/31/2012<br>\$ 0   | 12 Aggregate profit or (loss) on contracts<br>\$ (2,000,000)   |                          | 14 State identification no. |   |
|   |   |   |  |                          | 15 State tax withheld<br>\$ |   |

Form 1099-B (keep for your records) Department of the Treasury - Internal Revenue Service

☐ CORRECTED (if checked)

|  |  |  |  |   |
|--|--|--|--|---|
| LENDER'S name, street address, city, state, ZIP code, and telephone no.<br>Administrator Michael Norley, TTEE<br>DIAMOND SETTLEMENT TRUST<br>c/o PO Box 1774<br>West Chester Pennsylvania 19380<br>USA |  | OMB No. 1545-0877<br><b>2012</b><br>Form 1099-A  |  | <b>Acquisition or Abandonment of Secured Property</b><br><br><b>Copy B For Borrower</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if taxable income results from this transaction and the IRS determines that it has not been reported. |
| LENDER'S federal identification number<br>46-6823539   | BORROWER'S identification number<br>16-6204565 | 1 Date of lender's acquisition or knowledge of abandonment<br>20121219   | 2 Balance of principal outstanding<br>\$ 2,000,000 |   |
| BORROWER'S name<br>CLERK OF COURT LAWRENCE K. BAERMAN  |  | 3  | 4 Fair market value of property<br>\$ 2,000,000    |   |
| Street address (including apt. no.)<br>c/o 445 Broadway Room 509   |  | 5 If checked, the borrower was personally liable for repayment of the debt <input checked="" type="checkbox"/> |  |   |
| City, state, and ZIP code<br>Albany New York 12207   |  | 6 Description of property<br>Capital asset deposited, Performance Bond No. 20130910-SF25-2M                    |  |   |
| Account number (see instructions)<br>1:12-cr-00579-TJM   |  |  |  |   |

Form 1099-A (keep for your records) Department of the Treasury - Internal Revenue Service

☐ CORRECTED (if checked)

|  |  |  |  |   |
|--|--|--|--|---|
| LENDER'S name, street address, city, state, ZIP code, and telephone no.<br>Administrator Michael Norley, TTEE<br>DIAMOND SETTLEMENT TRUST<br>c/o PO Box 1774<br>West Chester Pennsylvania 19380<br>USA |  | OMB No. 1545-0877<br><b>2012</b><br>Form 1099-A  |  | <b>Acquisition or Abandonment of Secured Property</b><br><br><b>Copy I For Borrower</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on your taxable income result from this transaction and the IRS determine that it has not been reported. |
| LENDER'S federal identification number<br>46-6823539   | BORROWER'S identification number<br>16-6204565 | 1 Date of lender's acquisition or knowledge of abandonment<br>20121219   | 2 Balance of principal outstanding<br>\$ 2,000,000 |   |
| BORROWER'S name<br>CLERK OF COURT LAWRENCE K. BAERMAN  |  | 3  | 4 Fair market value of property<br>\$ 2,000,000    |   |
| Street address (including apt. no.)<br>c/o 445 Broadway Room 509   |  | 5 If checked, the borrower was personally liable for repayment of the debt <input checked="" type="checkbox"/> |  |   |
| City, state, and ZIP code<br>Albany New York 12207   |  | 6 Description of property<br>Capital asset deposited Payment Bond No. 20131104-SF25A-2M-1                      |  |   |
| Account number (see instructions)<br>1:12-cr-00579-TJM   |  |  |  |   |

Form 1099-A (keep for your records) Department of the Treasury - Internal Revenue Service

☐ CORRECTED (if checked)

|  |  |  |  |   |
|--|--|--|--|---|
| LENDER'S name, street address, city, state, ZIP code, and telephone no.<br>Administrator Michael Norley, TTEE<br>DIAMOND SETTLEMENT TRUST<br>c/o PO Box 1774<br>West Chester Pennsylvania 19380<br>USA |  | OMB No. 1545-0877<br><b>2012</b><br>Form 1099-A  |  | <b>Acquisition or Abandonment of Secured Property</b><br><br><b>Copy B For Borrower</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if taxable income results from this transaction and the IRS determines that it has not been reported. |
| LENDER'S federal identification number<br>46-6823539   | BORROWER'S identification number<br>16-6204565 | 1 Date of lender's acquisition or knowledge of abandonment<br>20121219   | 2 Balance of principal outstanding<br>\$ 2,000,000 |   |
| BORROWER'S name<br>CLERK OF COURT LAWRENCE K. BAERMAN  |  | 3  | 4 Fair market value of property<br>\$ 2,000,000    |   |
| Street address (including apt. no.)<br>c/o 445 Broadway Room 509   |  | 5 If checked, the borrower was personally liable for repayment of the debt <input checked="" type="checkbox"/> |  |   |
| City, state, and ZIP code<br>Albany New York 12207   |  | 6 Description of property<br>Capital asset deposited, Payment Bond No. 20131104-SF25A-2M-2                     |  |   |
| Account number (see instructions)<br>1:12-cr-00579-TJM   |  |  |  |   |



|  |   |  |  |   |
|--|---|--|--|---|
| PAYER'S name, street address, city, state, ZIP code, and telephone no.<br>CLERK OF COURT LAWRENCE K. BAERMAN<br>c/o 445 Broadway Room 509<br>Albany New York 12207   |   | 1 Original issue discount for 2012<br>\$ 2,000,000                   | OMB No. 1545-0117<br><b>2012</b><br>Form 1099-OID              | Original Issue Discount   |
|  |   | 2 Other periodic interest<br>\$ 0                                    |  |   |
| PAYER'S federal identification number<br>16-6204565  | RECIPIENT'S identification number<br>46-6823539 | 3 Early withdrawal penalty<br>\$ 0                                   | 4 Federal income tax withheld<br>\$ 2,000,000                  |   |
| RECIPIENT'S name<br>Administrator Michael Norley, TTEE<br>for DIAMOND SETTLEMENT TRUST<br>Street address (including apt. no.)<br>c/o PO Box 1774 USA<br>City, state, and ZIP code<br>West Chester Pennsylvania 19380 |   | 5 Description<br>Discount on Payment Bond<br>No. 20131104-SF25A-2M-1 |  |   |
| Account number (see instructions)<br>1:12-cr-00579-TJM   |   | 2nd TIN not.<br><input type="checkbox"/>                             | 6 Original issue discount on U.S. Treasury obligations<br>\$ 0 | Copy C<br>For Payer<br>For Privacy Act and Paperwork Reduction Act Notice, see the 2012 General Instructions for Certain Information Returns. |
|  |   | 7 Investment expenses<br>\$ 0  |  |   |
|  |   | 8 State 9 State identification no. 10 State tax withheld<br>\$       |  |   |

Form 1099-OID

Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

|  |   |  |  |   |
|--|---|--|--|---|
| PAYER'S name, street address, city, state, ZIP code, and telephone no.<br>CLERK OF COURT LAWRENCE K. BAERMAN<br>c/o 445 Broadway Room 509<br>Albany New York 12207   |   | 1 Original issue discount for 2012<br>\$ 2,000,000                   | OMB No. 1545-0117<br><b>2012</b><br>Form 1099-OID              | Original Issue Discount   |
|  |   | 2 Other periodic interest<br>\$ 0                                    |  |   |
| PAYER'S federal identification number<br>16-6204565  | RECIPIENT'S identification number<br>46-6823539 | 3 Early withdrawal penalty<br>\$ 0                                   | 4 Federal income tax withheld<br>\$ 2,000,000                  |   |
| RECIPIENT'S name<br>Administrator Michael Norley, TTEE<br>for DIAMOND SETTLEMENT TRUST<br>Street address (including apt. no.)<br>c/o PO Box 1774 USA<br>City, state, and ZIP code<br>West Chester Pennsylvania 19380 |   | 5 Description<br>Discount on Payment Bond<br>No. 20131104-SF25A-2M-2 |  |   |
| Account number (see instructions)<br>1:12-cr-00579-TJM   |   | 2nd TIN not.<br><input type="checkbox"/>                             | 6 Original issue discount on U.S. Treasury obligations<br>\$ 0 | Copy C<br>For Payer<br>For Privacy Act and Paperwork Reduction Act Notice, see the 2012 General Instructions for Certain Information Returns. |
|  |   | 7 Investment expenses<br>\$ 0  |  |   |
|  |   | 8 State 9 State identification no. 10 State tax withheld<br>\$       |  |   |

Form 1099-OID

Department of the Treasury - Internal Revenue Service

☐ CORRECTED (if checked)

|  |  |  |  |
|--|--|--|--|
| LENDER'S name, street address, city, state, ZIP code, and telephone no.<br>Administrator Michael Norley, TTEE<br>DIAMOND SETTLEMENT TRUST<br>c/o PO Box 1774<br>West Chester Pennsylvania 19380<br>USA |  | OMB No. 1545-0877<br><b>2012</b><br>Form 1099-A  | Acquisition or Abandonment of Secured Property   |
| LENDER'S federal identification number<br>46-6823539   | BORROWER'S identification number<br>16-6204565 | 1 Date of lender's acquisition or knowledge of abandonment<br>20121219   |  |
| BORROWER'S name<br>CLERK OF COURT LAWRENCE K. BAERMAN<br>Street address (including apt. no.)<br>c/o 445 Broadway Room 509<br>City, state, and ZIP code<br>Albany New York 12207                        |  | 2 Balance of principal outstanding<br>\$ 2,000,000   |  |
| Account number (see instructions)<br>1:12-cr-00579-TJM   |  | 3<br>4 Fair market value of property<br>\$ 2,000,000   |  |
|  |  | 5 If checked, the borrower was personally liable for repayment of the debt <input checked="" type="checkbox"/> | Copy B<br>For Borrower<br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if taxable income results from this transaction and the IRS determines that it has not been reported. |
|  |  | 6 Description of property<br>Capital asset deposited,<br>Payment Bond No.<br>20131104-SF25A-2M-3               |  |

Form 1099-A

(keep for your records)

Department of the Treasury - Internal Revenue Service

|  |  |  |   |  |
|--|--|--|---|--|
| CREDITOR'S name, street address, city, state, ZIP code, and telephone no.<br>Administrator Michael Norley, TTEE<br>DIAMOND SETTLEMENT TRUST<br>c/o PO Box 1774<br>West Chester Pennsylvania 19380<br>USA |  | 1 Date of identifiable event<br>20121219   | OMB No. 1545-1424<br><br><b>2012</b><br>Form 1099-C | <b>Cancellation of Debt</b>  |
|  |  | 2 Amount of debt discharged<br>\$ 2,000,000  |   |  |
|  |  | 3 Interest if included in box 2<br>\$ 0  |   |  |
| CREDITOR'S federal identification number<br>46-6823539   | DEBTOR'S identification number<br>16-6204565 | 4 Debt description<br>Capital asset deposited,<br>Performance Bond No.<br>20130910-SF25-2M                   |   |  |
| DEBTOR'S name<br>CLERK OF COURT LAWRENCE K.<br>BAERMAN<br>Street address (including apt. no.)<br>c/o 445 Broadway Room 509<br>City, state, and ZIP code<br>Albany New York 12207                         |  | 5 If checked, the debtor was personally liable for repayment of the debt <input checked="" type="checkbox"/> |   |  |
| Account number (see instructions)<br>1:12-cr-00579-TJM   |  | 6 Identifiable event code  | 7 Fair market value of property<br>\$ 2,000,000     | <b>Copy B</b><br><b>For Debtor</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if taxable income results from this transaction and the IRS determines that it has not been reported. |
| Form 1099-C  |  | (keep for your records)  |   |  |

Department of the Treasury - Internal Revenue Service

|   |  |  |   |  |
|---|--|--|---|--|
| CREDITOR'S name, street address, city, state, ZIP code, and telephone no.<br>Administrator Michael Norley, TTEE<br>DIAMOND SETTLEMENT TRUST<br>c/o PO Box 1774<br>West Chester Pennsylvania 19380-<br>USA |  | 1 Date of identifiable event<br>20121219   | OMB No. 1545-1424<br><br><b>2012</b><br>Form 1099-C | <b>Cancellation of Debt</b>  |
|   |  | 2 Amount of debt discharged<br>\$ 2,000,000  |   |  |
|   |  | 3 Interest if included in box 2<br>\$ 0  |   |  |
| CREDITOR'S federal identification number<br>46-6823539  | DEBTOR'S identification number<br>16-6204565 | 4 Debt description<br>Capital asset deposited,<br>Payment Bond No.<br>20131104-SF25A-2M-1                    |   |  |
| DEBTOR'S name<br>CLERK OF COURT LAWRENCE K.<br>BAERMAN<br>Street address (including apt. no.)<br>c/o 445 Broadway Room 509<br>City, state, and ZIP code<br>Albany New York 12207                          |  | 5 If checked, the debtor was personally liable for repayment of the debt <input checked="" type="checkbox"/> |   |  |
| Account number (see instructions)<br>1:12-cr-00579-TJM  |  | 6 Identifiable event code  | 7 Fair market value of property<br>\$ 2,000,000     | <b>Copy B</b><br><b>For Debtor</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if taxable income results from this transaction and the IRS determines that it has not been reported. |
| Form 1099-C   |  | (keep for your records)  |   |  |

Department of the Treasury - Internal Revenue Service

☐ CORRECTED (if checked)

|   |  |  |   |  |
|---|--|--|---|--|
| CREDITOR'S name, street address, city, state, ZIP code, and telephone no.<br>Administrator Michael Norley, TREASURER<br>DIAMOND SETTLEMENT TRUST<br>c/o PO Box 1774<br>West Chester Pennsylvania 19380<br>USA |  | 1 Date of identifiable event<br>20121219   | OMB No. 1545-1424                               | <b>Cancellation<br/>of Debt</b>  |
|   |  | 2 Amount of debt discharged<br>\$ 2,000,000  | <b>2012</b><br>Form 1099-C                      |  |
|   |  | 3 Interest if included in box 2<br>\$ 0  |   |  |
| CREDITOR'S federal identification number<br>46-6823539  | DEBTOR'S identification number<br>16-6204565 | 4 Debt description<br>Capital asset deposited,<br>Payment Bond No.<br>20131104-SF25A-2M-3                    |   | <b>Copy B<br/>For Debtor</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if taxable income results from this transaction and the IRS determines that it has not been reported. |
| DEBTOR'S name<br>CLERK OF COURT LAWRENCE K. BAERMAN   |  | 5 If checked, the debtor was personally liable for repayment of the debt <input checked="" type="checkbox"/> |   |  |
| Street address (including apt. no.)<br>c/o 445 Broadway Room 509  |  |  |   |  |
| City, state, and ZIP code<br>Albany New York 12207  |  |  |   |  |
| Account number (see instructions)<br>1:12-cr-00579-TJM  |  | 6 Identifiable event code  | 7 Fair market value of property<br>\$ 2,000,000 |  |

Form **1099-C** (keep for your records) Department of the Treasury - Internal Revenue Service

☐ CORRECTED (if checked)

|   |  |  |   |  |
|---|--|--|---|--|
| CREDITOR'S name, street address, city, state, ZIP code, and telephone no.<br>Administrator Michael Norley, TREASURER<br>DIAMOND SETTLEMENT TRUST<br>c/o PO Box 1774<br>West Chester Pennsylvania 19380<br>USA |  | 1 Date of identifiable event<br>20121219   | OMB No. 1545-1424                               | <b>Cancellation<br/>of Debt</b>  |
|   |  | 2 Amount of debt discharged<br>\$ 2,000,000  | <b>2012</b><br>Form 1099-C                      |  |
|   |  | 3 Interest if included in box 2<br>\$ 0  |   |  |
| CREDITOR'S federal identification number<br>46-6823539  | DEBTOR'S identification number<br>16-6204565 | 4 Debt description<br>Capital asset deposited,<br>Payment Bond No.<br>20131104-SF25A-2M-2                    |   | <b>Copy B<br/>For Debtor</b><br>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if taxable income results from this transaction and the IRS determines that it has not been reported. |
| DEBTOR'S name<br>CLERK OF COURT LAWRENCE K. BAERMAN   |  | 5 If checked, the debtor was personally liable for repayment of the debt <input checked="" type="checkbox"/> |   |  |
| Street address (including apt. no.)<br>c/o 445 Broadway Room 509  |  |  |   |  |
| City, state, and ZIP code<br>Albany New York 12207  |  |  |   |  |
| Account number (see instructions)<br>1:12-cr-00579-TJM  |  | 6 Identifiable event code  | 7 Fair market value of property<br>\$ 2,000,000 |  |

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Administrator Michael Norley, TTEE  
DIAMOND SETTLEMENT TRUST  
c/o PO Box 1774  
West Chester, Pennsylvania 19380  
USA

U.S. DISTRICT COURT  
N.D. OF N.Y.

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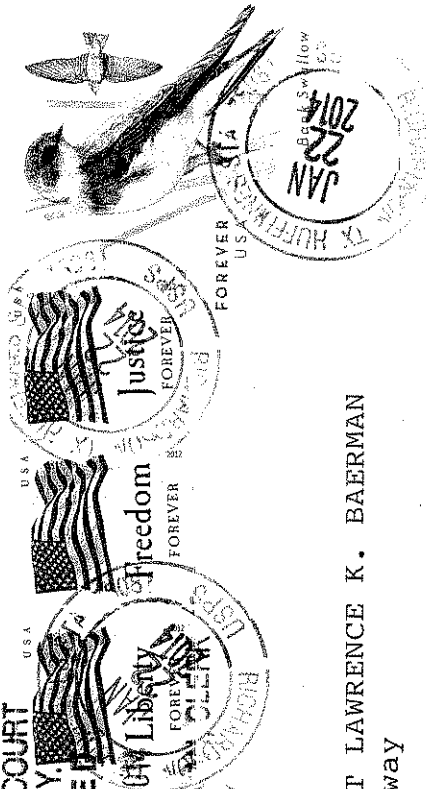
JAN 27 2014

LAWRENCE K. BAERMAN

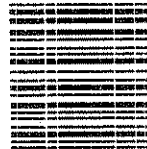
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CLERK OF COURT LAWRENCE K. BAERMAN  
c/o 445 Broadway  
Room 509  
Albany, New York 12207



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